PTO/SB/21 (09-04)

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10/643,383 **Application Number** TRANSMITTATO PE August 19, 2003 Filing Date **FORM** First Named Inventor Daniel J. White et al. FEB 1 5 2006 Årt Unit 1725 C. Shaw **Examiner Name** (to be used for all correspondence aftering all ling)
Total Number of Pages in This Submission TN-2491A Attorney Docket Number ENCLOSURES (check all that apply) Fee Transmittal Form ■ Drawing(s) After Allowance Communication to TC Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a ☐ After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Return Post Card Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement ☐ Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Black & Decker Inc. Signature **Printed Name** Adan Ayala, Esq. Reg. Date February 14, 2006 38,373 No. CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Adan Ayala, Esq. Date February 14, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Complete if Known				
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FEE TRANSMITTAL				Filing Date August 19, 2003			OIPE	
for FY 2005			First	Named Inventor	Daniel J. White et al	FED - PO		
Applicant claims small entity status. See 37 CFR 1.27				niner Name	C. Shaw	PA	FEB 1 5 2006 \$	
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METHOD OF PAYMEN	T (check	all that apply)						
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) :								
Deposit Account Deposit Account Number: 02-2548  Deposit Account Name: Black & Decker (U.S.) Inc.								
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)								
☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee								
☐ Charge any additional fee(s) or underpayments of fee(s) ☐ Credit any overpayments								
Under 37 CFR 1.16 and 1.17								
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
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Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0 .	0	0		
2. EXCESS CLAIM FEES <u>Small Entity</u>								
Fee Description						Fee		
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Each independent claim over 3 (including Reissues) Multiple dependent claims							180	
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Signature	//	43		Registration No.	38,373	Τ,	elephone 410-716-2368	
	Avala Fea			(Attorney/Agent)	50,010		elephone 410-710-2300	

**UTILITY PATENT** 

**B&D No. TN2491A** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# **BOARD OF PATENT APPEALS AND INTERFERENCES**

In re application of: Daniel J. WHITE et al.

Serial No.: 10/643,383

Examiner: C. Shaw

Filed: August 19, 2003

Group Art Unit: 1725

For:

**BATTERY PACK AND METHOD** 

FOR CONSTRUCTING SAME

Assistant Commissioner for Patents Washington, DC 20231

# **APPEAL BRIEF**

I, Adan Ayala, Reg. No. 38,373, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 2-14-06

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# UTILITY PATENT



# B&D No. TN2491A

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Adan Ayala

Dear Sir:

## I. INTRODUCTION

A final Office Action was mailed on December 1, 2005. In response to such Action, a Notice of Appeal was mailed on December 14, 2005, for the above-identified application. The present appeal brief is being timely filed as required under 37 CFR § 41.37.

## II. REAL PARTY IN INTEREST

The real party in interest in the present case is Black & Decker Inc. An assignment transferring all rights to the present application and resulting patents was filed in the priority

application, Ser. No. 60/303,496, filed July 6, 2001, now abandoned. The assignment was recorded on February 12, 2002 and can be found at Reel 012613, Frame 0693.

## III. RELATED APPEALS AND INTERFERENCES

No other appeals or interferences related to the present case are currently pending.

## IV. STATUS OF CLAIMS

Claims 11-13 are currently pending and have been rejected in the present application.

Claims 1-10 have been canceled.

Claims 11-13 are presently appealed.

## V. STATUS OF AMENDMENTS

No amendments have been filed subsequent to final rejection.

#### VI. SUMMARY OF CLAIMED SUBJECT MATTER

Pursuant to 37 CFR § 41.37 and MPEP § 1206, Applicants/appellant hereby provide a concise explanation of the inventions defined in the claims involved in the present appeal.

This explanation refers to the specification by page and line number and to the drawings, as required by the CFR and the MPEP rules. However, the following explanation only refers to the embodiments disclosed in the specification and does not discuss alternative mechanisms that would be covered by the claims. Accordingly, the following explanation should not be used to limit the scope of the claims.

Independent Claim 11 calls for a method for manufacturing a battery pack 10 comprising the steps of providing a housing 15 and two cells 11, at least one cell 11 having a weld area 11W. Spec., p. 4, lns. 1 & 21. A strap 13 is welded between the two cells 11. Spec., p. 4, lns. 2-3. The cells 11 are then disposed in the housing 15. Spec., p. 4, ln. 21. The strap 13 is welded by disposing a first electrode 16S on the strap 13 outside of the weld area 11W and a second electrode 16C on the at least one cell 11 outside of the weld area 11W, and providing a current between the first and second electrodes 16S, 16C. Spec., p. 4, lns. 5-7.

Claim 12 is dependent upon Claim 11 and thus includes the elements of Claim 11. In addition, Claim 12 further requires that the second electrode 16C contacts a side of the at least one cell 11. Spec., p. 5, lns. 4-5.

Claim 13 is dependent upon Claim 11 and thus includes the elements of Claim 11. In addition, Claim 13 further requires that the second electrode 16C contacts a periphery of the at least one cell 11.

# VII. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Whether Claims 11-13 are unpatentable under 35 USC § 103(a) over US Patent No. 5,298,712 ("Alexandres") in view of the prior art acknowledged by the Applicants ("the APA") and US Patent No. 4,322,597 ("Hooke").

#### VIII. ARGUMENT

# A. Claim 11 is Patentable under 35 USC § 103 over Alexandres in view of the APA and Hooke.

The Board should reverse the Examiner's improper final rejection of Claim 11 under 35 USC § 103 based on the combination of Alexandres/APA/Hooke. This is because, even if the three references were combined, such combination would not result in the claimed invention. Therefore, the Examiner's rejection was improper and should be reversed.

Admittedly, Alexandres teaches a method for manufacturing a battery pack including the steps of providing two cells, at least one cell having a weld area, welding a strap between the two cells via two electrodes, and providing a current between the two electrodes. However, Alexandres does not disclose disposing a first electrode on the strap outside of the weld area and a second electrode on the at least one cell outside of the weld area.

The Examiner noted that at least one of electrodes 54, 56, 58 is disposed outside of the weld area. However, all three electrodes are being placed on the button cap 42, which is typically the weld area. See, e.g., FIGS. 1C and 2C of the present application. Accordingly, Alexandres discloses placing three electrodes inside the weld area.

By contradistinction, Claim 11 requires "disposing a first electrode on the strap outside of the weld area." Accordingly, such claimed element is not disclosed in Alexandres.

Furthermore, Alexandres does not disclose placing any electrodes on the cell, as all three electrodes are placed on the strap. By contradistinction, Claim 11 requires "disposing ... a second electrode on the at least one cell." Such feature is not shown by Alexandres.

Even if Alexandres were combined with the APA and Hooke as suggested by the Examiner, the claimed method would not be practiced as the APA and Hooke show disposing at least one electrode within the weld area.

Accordingly, the Alexandres/APA/Hooke combination does not show all claimed elements of Claim 11. Therefore, such combination cannot render Claim 11 unpatentable.

# B. <u>Claim 12 is Patentable under 35 USC § 103 over Alexandres in view of the APA</u> and Hooke.

The Board should reverse the Examiner's improper final rejection of Claim 12 under 35 USC § 103 based on the combination of Alexandres/APA/Hooke. This is because, even if the three references were combined, such combination would not result in the claimed invention. Therefore, the Examiner's rejection was improper and should be reversed.

As discussed above, the Alexandres/APA/Hooke does not disclose several elements of Claims 11 and 12. Furthermore, none of the references show the second electrode contacting a side of the cell. The Examiner alleges that, due to the breadth of the claimed language, Alexandres shows the second electrode contacting a side of the cell.

This is incorrect. As seen in FIG. 2 of Alexandres, all the electrodes contact the strap, not the cell. If the electrodes do not contact the cell, the second electrode cannot contact the side of the cell.

By contradistinction, Claim 12 requires that "the second electrode contact[] a side of the at least one cell." Since such feature is not shown by Alexandres, as alleged by the Examiner, neither it nor the Alexandres/APA/Hooke combination can render Claim 12 unpatentable.

# C. Claim 13 is Patentable under 35 USC § 103 over Alexandres in view of the APA and Hooke.

The Board should reverse the Examiner's improper final rejection of Claim 13 under 35 USC § 103 based on the combination of Alexandres/APA/Hooke. This is because, even if the three references were combined, such combination would not result in the claimed invention. Therefore, the Examiner's rejection was improper and should be reversed.

As discussed above, the Alexandres/APA/Hooke does not disclose several elements of Claims 11 and 13. Furthermore, none of the references show the second electrode contacting a periphery of the cell. The Examiner alleges that, due to the breadth of the claimed language, Alexandres shows the second electrode contacting a periphery of the cell.

This is incorrect. As seen in FIG. 2 of Alexandres, all the electrodes contact the strap, not the cell. If the electrodes do not contact the cell, the second electrode cannot contact the periphery of the cell.

By contradistinction, Claim 13 requires that "the second electrode contact[] a periphery of the at least one cell." Since such feature is not shown by Alexandres, as alleged by the Examiner, neither it nor the Alexandres/APA/Hooke combination can render Claim 13 unpatentable.

## IX. APPENDICES

Applicants/Appellant have attached three appendices. In particular, Appendix A contains a copy of the claims involved in the appeal. In addition, Applicants/Appellant have attached an Evidence Appendix and a Related Proceeding Appendix.

# X. CONCLUSION

Based on the foregoing, Applicants/Appellant urge the Board to rule that Claims 11-13 are patentable over the combination of Alexandres/APA/Hooke.

Respectfully submitted,

Adan Ayala

PTO Reg. No. 38,373

Attorney for Applicants/Appellant

Appendices attached

# APPENDIX A

# **CLAIMS INVOLVED IN APPEAL**

Claim 11: A method for manufacturing a battery pack comprising the steps of:

providing a housing and two cells, at least one cell having a weld area;

welding a strap between the two cells; and

disposing the cells in the housing,

wherein the strap is welded by disposing a first electrode on the strap outside of the weld area and a second electrode on the at least one cell outside of the weld area, and providing a current between the first and second electrodes.

Claim 12: The method of Claim 11, wherein the second electrode contacts a side of the at least one cell.

Claim 13: The method of Claim 11, wherein the second electrode contacts a periphery of the at least one cell.

# **EVIDENCE APPENDIX**

No Evidence is being submitted under 37 CFR §§ 1.130-1.132 or previously entered by the Examiner.

# **RELATED PROCEEDINGS APPENDIX**

There are no currently pending appeals, interferences, or judicial proceedings related to the present case. No Board decisions or court decisions have issued in the previous related cases.